

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q85896

Akira YUKAWA, et al.

Appln. No.: 10/522,135

Group Art Unit: 2163

Confirmation No.: 3915

Examiner: Angela M. Lie

Filed: January 24, 2005

For:

SECURITY LIGHTING APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, except for the following: U.S. patents and/or U.S. patent publications; and co-pending non-provisional U.S. applications filed after June 30, 2003.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes

DOCKET NO. Q85896

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/522,135

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prosecution in the application (whichever is earlier), and therefore Applicant is filing

concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p)

is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicants enclose herewith an English language abstract for CN

1107272 A. Applicants note that JP 2001-273569 A (also cited in the foreign patent office

communication) was previously submitted by Applicants on January 24, 2005.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account.

Respectfully submitted,

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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: January 12, 2007

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

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CUSTOMER NUMBER

Date: January 12, 2007

Stephen R. Valancius

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		Complete if Known		
Substitute for Form 1449 A & B/PTO		Application Number	10/522,135	
		Confirmation		
INFORMATION DISC	LOSURE	Number	3915	
PE STATEMENT BY APP		Filing Date	January 24, 2005	
(use as many sheets as no	ecessarv)	First Named Inventor	Akira YUKAWA	
•		Art Unit	2163	
JAN 1 2 2007		Examiner Name	Angela M. Lie	
Sheet 1	of 1	Attorney Docket Number	Q85896	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.'	Document Number		Dublication Date		
		Number	Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		US				
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FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		CN	1107272		08-23-1995	Xiao Jinxiong	Abstract
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NON PATENT LITERATURE DOCUMENTS					
Examiner Cite		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			
		Chinese Office Action for application number 03817393X, issued November 24, 2006.	No		
			 		

Examiner Signature	Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ¹See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴Applicant is to indicate here if English language Translation is attached.